

ADMH FY13



Annual Report



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Alabama Department of Mental Health
RSA Union Building
100 North Union Street
Montgomery 36130
1-800-367-0955

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Ex officio

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Appointment: by governor; 06/10/08 - 04/10/17
Represents the state at large

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Represents the 7th Congressional District

Commissioner's Letter

Dear Governor Bentley,

During my tenure as Commissioner, much of the department's focus has been on implementing a comprehensive plan to transition mental health services to an improved system of care that relies primarily on community treatment options. In FY13, another goal in the plan was reached with the official closure of Searcy Hospital. Dedicated employees of our Division of Mental Health and Substance Abuse Services worked closely with our community partners to expand and coordinate care to ensure complete continuity of treatment and a seamless transition for our mental health consumers. As such, our providers in the Southern regions were tremendously successful in completing their first year of coordinating and providing post-commitment care in their regions. Building on these successes, our providers in the Northern regions began implementing their transitions to a much more robust system of community care and the corresponding reduction in the need to rely on institutional care in large state hospitals.

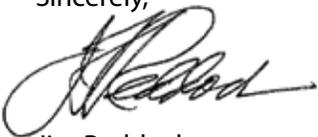
Our Developmental Disabilities Division moved to fully implement new service delivery models including more community integration, employment, and in-home supports and services. These moves, over time, will increase the quality of life for individuals we serve with available resources and will enable us to reduce existing waiting lists for individuals awaiting services. Programs such as the highly effective Project Search, which teach individuals with disabilities job skills in actual job settings, were instituted in several locales, with the goal of making Alabama an "Employment First" state. These programs emphasize employment as the primary service the state seeks to promote for eligible and capable consumers. In conjunction with six other state departments, we formed a state interagency team to collaborate across departmental lines to break down obstacles that foster dependency, discourage independence and stand in the way of meaningful employment for people with disabilities.

While the transition to community-based services has long been in the works, in FY13 another significant change to mental health services occurred. The Legislature passed SB340, which set the framework for restructuring the delivery of Medicaid services to eligible individuals and providing for a managed care approach using regional care organizations. This move will represent a tremendous shift in the delivery of health care services, including behavioral health services, to individuals on Medicaid. As FY13 ended, we were working with the Alabama Medicaid Agency as they took the first steps in restructuring services into regional care organizations. We will continue our efforts to help mold a system that is inclusive of the needs of those we serve.

Progress continued in FY13 on the construction of the new Bryce Hospital in Tuscaloosa. This 260,000 square foot facility, with a projected opening in mid-2014, will be located on the former Partlow campus. It's design centers around therapeutic principles that will make it a state of the art psychiatric treatment facility, providing a far less institutional feel and incorporating a treatment mall that will include such things as barber and beauty shops, a canteen, a fitness room and gym, and generous spaces for consumer advocates and therapy areas—all of which are designed to continue our mission of helping consumers to recover and successfully re-enter life in their own communities as soon as they are able.

It is my privilege to present to you the FY13 Annual Report of the Alabama Department of Mental Health. Truly effective and efficient services can only be provided by a team of dedicated and hard-working leaders, employees and partners committed to achieving excellence in all they do. The services and initiatives highlighted inside this report paint the picture of our efforts to provide the best mental health care possible to all Alabamians in need of our services.

Sincerely,



Jim Reddoch
Commissioner

Year in Review

ADMH and the Department of Education partnered on a School-Based Mental Health Collaboration in order to integrate therapists with educational staff within school settings. This project involved over a dozen community mental health centers and nearly 30 school districts. Data collection processes will be implemented to monitor the efficacy of this project.

At long last, the department entered into a contract for implementation of electronic health records in all of its treatment facilities. Design and business requirements meetings were held, forms were developed with the various specialties represented and business process changes were defined to allow for implementation to begin in FY14. Implementation in all four ADMH facilities is expected by the end of FY14.

The department's Division of Mental Health and Substance Abuse Services (MHSAS) developed a commitment protocol to track all individuals who are committed and ultimately released from commitment in an ADMH facility. Likewise, with the expansion of post-commitment treatment options in our community programs, the need to expand this tracking to secure necessary information on individuals committed to ADMH, but receiving treatment outside a state facility became evident. The Gateway Data System was implemented to not only meet this specific need, but also link existing data systems within the department.

The Strategic Prevention Framework State Incentive Grant, a five year cooperative agreement awarded to the State of Alabama by the Substance Abuse and Mental Health Services Administration and Center for Substance Abuse Prevention, funded our efforts in 20 counties to prevent the onset and reduce the progression of all forms of substance abuse. The MHSAS Division program targets include childhood and underage drinking, reduction of substance abuse-related problems in communities, and building prevention capacity and infrastructure at the state and community levels. The MHSAS Division also completed a "Perception of Care" survey with consumers in all certified substance abuse programs. Results of the survey will be used to improve the state's substance use disorder services.

Piloting of the Electronic Incident Reporting System for mental illness and substance abuse community providers continued. The department also examined developing a more efficient process to extract available data from the system.

The Forensic Workgroup was reactivated in FY13 to evaluate processes and procedures related to the evaluation, treatment, discharge and movement through the system of care of consumers under criminal commitment orders, and to propose plans for improving forensic services for these individuals and expediting their movement through the continuum.

SSI/SSDI Outreach, Access, and Recovery (SOAR) is a training program designed to increase access to SSI/SSDI for eligible adults who are homeless or at risk of homelessness and have mental illnesses and/or co-occurring substance use disorders or other medical impairments. ADMH provided SOAR training in conjunction with AltaPointe Health Systems, Inc. Case managers from Mobile and the surrounding areas were trained to assist these individuals in applying for their eligible SSI and SSDI benefits

New regulations in the ADMH Administrative Code for the provision of services to persons with intellectual disabilities were implemented in FY13. These regulations focused on establishing person-centered goals and measuring their outcomes. Additionally, numerous training sessions were held for intellectual disabilities services providers on shifting their programs to achieve improved personal outcomes for those they serve. This emphasis will help promote more independence and inclusion, as well as meet compliance with new federal regulations. Additionally, the DD Division submitted proposed amendments for the Living at Home and community-based waivers to provide more flexibility for integrated and self-directed services to individuals and families.

Through the efforts of the DD Division, we achieved acceptance into the U.S. Department of Labor-sponsored Employment First State Leadership Mentoring Program Community of Practice. This collaborative community brings web-based platform technical assistance to participating states to share successful strategies and will be extremely beneficial as our state develops its own inter-agency collaboration to support and prioritize employment for individuals with disabilities.

Also through the efforts of our DD Division, four additional sites were added to Project SEARCH, a transitional model to assist youth with developmental disabilities in gaining meaningful employment after they leave high school. Programs like this will dramatically improve the number of youth who attain and, with ADMH/Medicaid resources, sustain successful employment outcomes. In a related initiative, we applied for and received a U.S. Department of Labor Disabilities Employment Initiative grant through the Alabama Department of Economic & Community Affairs. This grant will fund a pilot program for job training and employment for people with disabilities in a number of Alabama career centers. It will also bring three million dollars into the state over the three year duration of the grant.

Our DD Division assisted the Alabama Medicaid Agency in securing approval for the state's Money Follows the Person Grant that will help that agency and its operating agencies support people with significant disabilities in their own homes or apartments, receiving supports and services in integrated community settings rather than large institutions.

The final issue of approximately \$6 million in Mental Health Finance Authority bonds was allocated to eight community mental health programs for facility construction and upgrades. This funding was the final installment of a \$100 million bond issue originally authorized in 1989. The funding is being used to enhance facility programs that have been integral to the highly successful transition of consumers from state institutions to more appropriate community programs.

ADMH worked continuously throughout the year with the Alabama Medicaid Agency in its efforts to establish Regional Care Organizations as the foundation of Medicaid's transformed service delivery system. ADMH continues to work closely with Medicaid and other primary stakeholders in this process. ADMH also continues to work closely with Medicaid on implementation and/or expansion of other Medicaid initiatives such as health homes, Medicaid emergency psychiatric demonstration, and transportation.

The process for becoming an ADMH certified community service provider was also revamped. Formerly conducted only as a live training event, the department began offering online training as the first phase in a two phase process. The new online format provides an overview of standard requirements and gives prospective providers an opportunity to determine interest in attending the second and more in-depth training that is required to apply for certification for mental illness, substance abuse, or developmental disability treatment or prevention services.

A workgroup composed of nurses, community program administrators, representatives of ADMH, the Alabama Board of Nursing, and the Alabama Board of Pharmacy reviewed and revised the department's Nurse Delegation Program training components, and assessed and updated the administration of the NDP. Community providers are now able to meet training requirements more efficiently and economically through a number of changes including a new online training program, new manuals and training materials, and updates to certification and documentation requirements.

The department's Central Office significantly reduced its footprint in the RSA Union Building. Through consolidation of operational and administrative functions and right-sizing of staff, more than 11,000 square feet of office space (nearly 15 percent of total Central Office space) was vacated at an annual savings of over \$115,000 in lease expenses.

Work continued on the new Bryce Hospital in Tuscaloosa. The state-of-the-art 268-bed facility is slated to open during the summer of 2014.

About Services

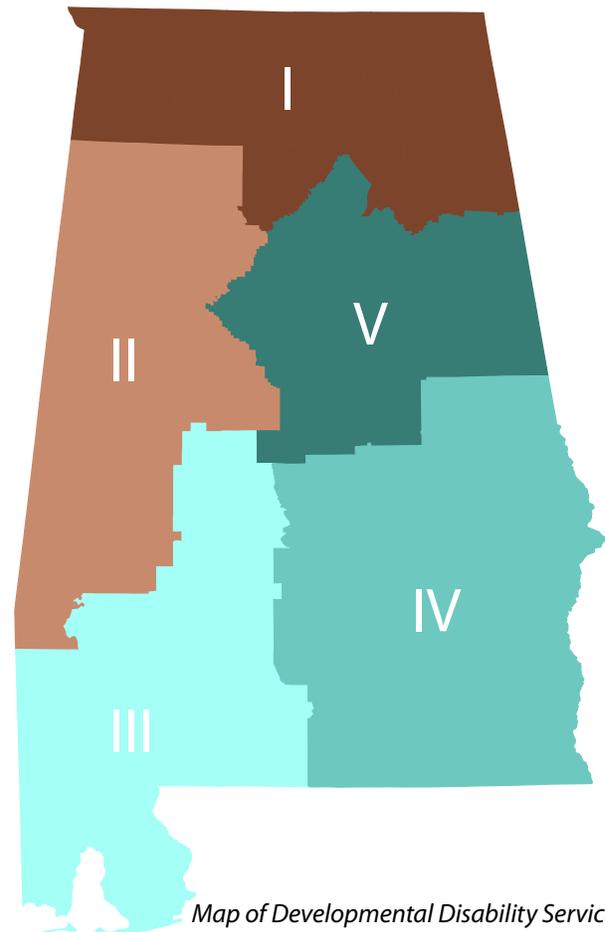
Department Service Statistics
Developmental Disability Services

5,982

distinct people received developmental disability services in FY13

Types of Services Provided

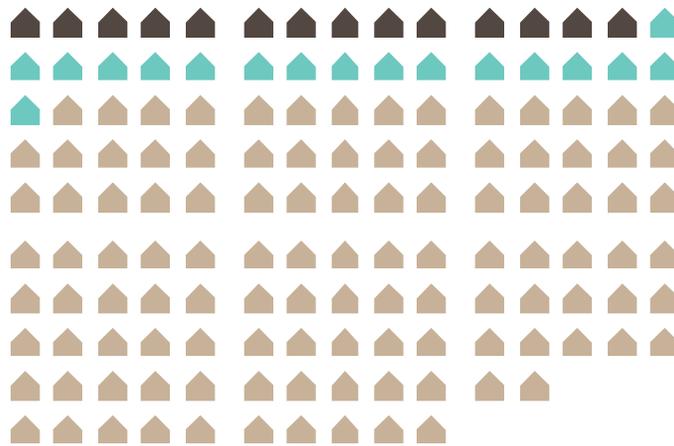
assistive technology evaluations, case management, companion, day treatment, early intervention, homemaking, outpatient therapy, personal care, residential treatment, respite, supported employment and unskilled/skilled nursing



Map of Developmental Disability Service Regions

142

direct contractors and subcontractors assisted in providing services



**Comprehensive
310 Boards**

**Developmental
Disability only
310 Boards**

Contract Providers

Community Provider Statistics

Developmental Disability Services

Comprehensive 310 Boards		
AltaPointe Health Systems	Mobile, Washington*	530
Calhoun-Cleburne Mental Health Board	Calhoun, Cleburne	148
Cheaha Regional Mental Health Center	Clay, Coosa, Randolph, Talladega	136
East Alabama Mental Health Center	Chambers, Lee, Russell, Tallapoosa	229
Northwest Alabama Mental Health Center	Fayette*, Lamar*, Marion, Walker*, Winston	221
West Alabama Mental Health Center	Choctaw, Greene, Hale, Marengo, Sumter	126
Developmental Disability Services Only		
Ability Alliance of West Alabama	Bibb, Pickens, Tuscaloosa	566
Autauga Elmore Developmental Services	Autauga, Elmore, Lowndes	286
Blount County MR/DD	Blount, St. Clair*	123
Jefferson County Intellectual & Developmental Disabilities Authority	Jefferson*	734
Madison County 310 Board	Madison	319
Marshall-Jackson ID/DD 310 Agency	Jackson, Marshall	227
Montgomery 310	Montgomery	291
MR/DD Board	Baldwin, Clarke, Washington*	266
North Central Alabama MR/DD Authority	Lawrence, Limestone, Morgan	333
Northeast Alabama MR/DD Authority	Cherokee, DeKalb*, Etowah*	280
SCOPE 310 Authority	Colbert, Franklin, Lauderdale	194
TriCounty Agency for Intellectual Disabilities	Fayette*, Lamar*, Walker*	184
Vaughn-Blumberg Services	Houston*	142
Vivian B. Adams School	Dale	111
Non 310 Board Contract Providers		
Ability Plus	Limestone, Madison, Morgan	107
ARC of Jefferson County	Blount, Jefferson	417
ARC of Madison County	Madison	209
ARC of Tuscaloosa	Tuscaloosa	110
Centers for the Developmentally Disabled North Central Alabama	Lawrence, Limestone, Madison, Morgan	169
Mobile ARC	Mobile	240
Montgomery ARC	Montgomery	205
Progressive Healthcare Providers of Alabama	Autauga, Elmore, Shelby	148
Resources for Independence	Mobile, Tuscaloosa	114
United Cerebral Palsy of Greater Birmingham	Jefferson	143
Volunteers of America Alabama	Baldwin, Bibb, Bullock, Butler, Calhoun, Cherokee, Coffee...	684

Due to space constraints, this chart shows only those providers that served 100+ individuals in FY13. There are many more providers, and many individuals receive services and supports from more than one provider.

*There are several counties with more than one 310 Board

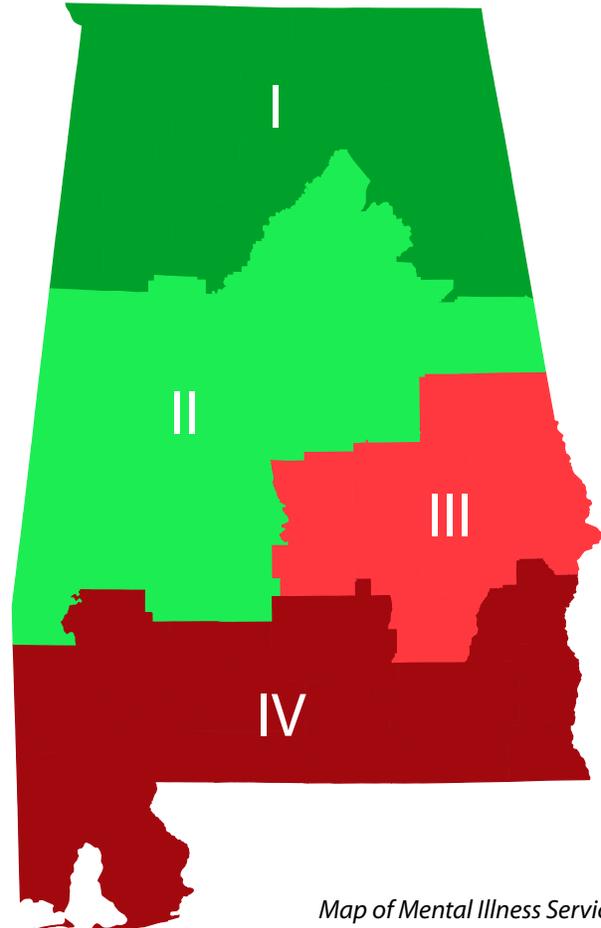
About Services

Department Service Statistics
Mental Illness Services

102,052

distinct people received mental illness services in FY13

in the community: **99,799**



Map of Mental Illness Service Regions

Types of Services Provided

case management, consultation, crisis stabilization, day treatment, education, emergency services, forensic case management, indigent drug program, in-home intervention, intensive day treatment, outpatient, partial hospitalization, rehabilitative day program, and residential treatment

27

direct contractors and subcontractors assisted in providing services

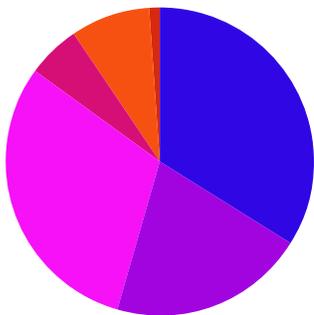


Comprehensive
310 Boards

Mental Health & Substance Use Disorder
310 Boards

Mental Health only
310 Board

Contract Providers



2,253

distinct people received mental illness services from one of our state-operated facilities

Number of People Served

Bryce Hospital	766
Mary Starke Harper Geriatric Psychiatry Center	461
North Alabama Regional Hospital	694
Searcy Hospital*	121
Taylor Hardin Secure Medical Facility	188
UAB Adolescent Unit	23

*Searcy Hospital closed during FY13. It was in operation until 10/31/12.

Community Provider Statistics

Mental Illness Services

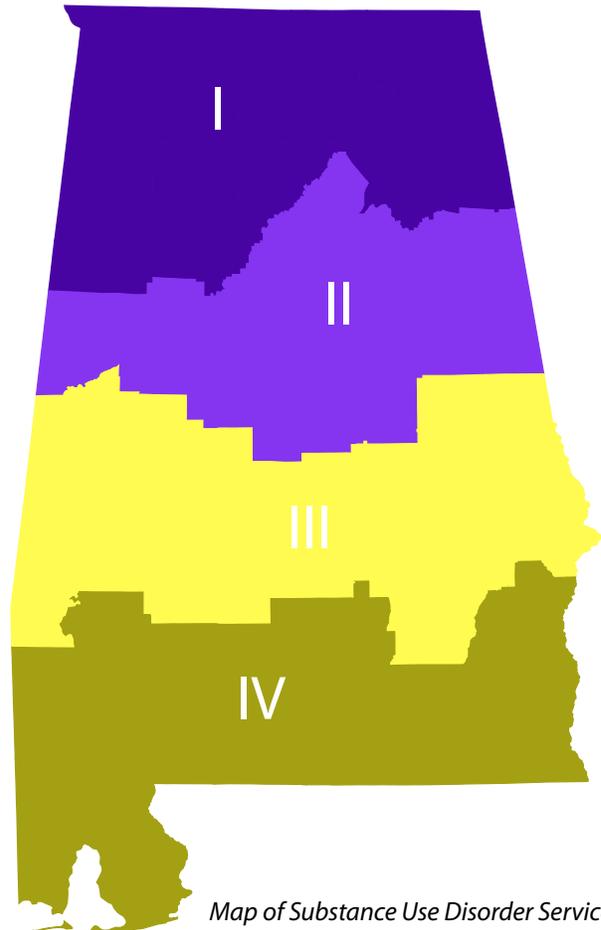
Comprehensive 310 Boards		
AltaPointe Health Systems	Mobile, Washington	10,563
Cahaba Center for Mental Health	Dallas, Perry, Wilcox	3,106
Calhoun-Cleburne Mental Health Board	Calhoun, Cleburne	3,139
Cheaha Regional Mental Health Center	Clay, Coosa, Randolph, Talladega	3,245
Chilton-Shelby Mental Health Center	Chilton, Shelby	2,612
East Alabama Mental Health Center	Chambers, Lee, Russell, Tallapoosa	7,232
East Central Mental Health Center	Bullock, Macon, Pike	2,602
Indian Rivers Mental Health Center	Bibb, Pickens, Tuscaloosa	5,034
JBS Mental Health Authority	Blount, Jefferson, St. Clair	4,096
Northwest Alabama Mental Health Center	Fayette, Lamar, Marion, Walker, Winston	4,208
South Central Alabama Mental Health Center	Butler, Coffee, Covington, Crenshaw	3,512
Southwest Alabama Behavioral Health Care Systems	Clarke, Conecuh, Escambia, Monroe	3,179
SpectraCare Health Systems	Barbour, Dale, Geneva, Henry, Houston	2,732
West Alabama Mental Health Center	Choctaw, Greene, Hale, Marengo, Sumter	2,171
Mental Illness and Substance Use Disorder Services Only		
Baldwin County Mental Health Center	Baldwin	3,962
CED Mental Health Center	Cherokee, DeKalb, Etowah	4,187
Mental Health Center of Madison County	Madison	6,627
Mental Health Center of North Central Alabama	Lawrence, Limestone, Morgan	4,076
Mental Healthcare of Cullman	Cullman	2,527
Mountain Lakes Behavioral Healthcare	Jackson, Marshall	2,764
Riverbend Community Mental Health Center	Colbert, Franklin, Lauderdale	5,934
Mental Illness Services Only		
Montgomery Area Mental Health Authority	Autauga, Elmore, Lowndes, Montgomery	5,171
Non 310 Board Contract Providers		
Brewer Porch Children's Center	Tuscaloosa	47
Eastside Mental Health Center	Blount, Jefferson, St. Clair	3,500
Glenwood Autism and Behavioral Health Center	Jefferson	371
UAB Mental Health Center	Jefferson	1,345
Western Mental Health Center	Jefferson	1,857

About Services

Department Service Statistics
Substance Use Disorder Services

21,264

distinct people received substance use disorder services in FY13



Types of Services Provided

case management, early intervention, detoxification, opioid maintenance therapy, outpatient, partial hospitalization treatment, prevention services, residential treatment and transitional residential treatment

69

direct contractors and subcontractors assisted in providing services



**Comprehensive
310 Boards**

**Mental Health &
Substance Use Disorder
310 Boards**

Contract Providers

+

75,000

people received prevention services

Community Provider Statistics

Substance Use Disorder Services

Comprehensive 310 Boards		
AltaPointe Health Systems	Mobile	718
Cahaba Center for Mental Health	Dallas, Wilcox	331
Calhoun-Cleburne Mental Health Board	Calhoun	448
Cheaha Regional Mental Health Center	DeKalb, Randolph, Talladega	626
Chilton-Shelby Mental Health Center	Chilton, Shelby	324
East Alabama Mental Health Center	Chambers, Lee, Russell	893
Indian Rivers Mental Health Center	Bibb, Pickens, Tuscaloosa	896
JBS Mental Health Authority	Blount, Jefferson, St. Clair	5,192
Northwest Alabama Mental Health Center	Fayette, Lamar, Marion, Walker, Winston	981
South Central Alabama Mental Health Center	Coffee, Covington	456
Southwest Alabama Behavioral Health Care Systems	Monroe, Escambia	319
SpectraCare Health Systems	Barbour, Dale, Geneva, Henry, Houston	1,458
Mental Illness and Substance Use Disorder Only		
Baldwin County Mental Health Center	Baldwin	410
CED Mental Health Center	Cherokee, Etowah	300
Mental Health Center of Madison County	Madison	835
Mental Health Center of North Central Alabama	Limestone, Morgan	685
Mental Healthcare of Cullman	Cullman	278
Mountain Lakes Behavioral Healthcare	Jackson, Marshall	512
Riverbend Community Mental Health	Colbert, Franklin, Lauderdale	847
Non 310 Board Contract Providers		
Alcohol and Drug Abuse Treatment Centers	Jefferson	1,027
Aletheia House	Butler, Cleburne, Colbert, Conecuh, Crenshaw, Jefferson...	1,739
Birmingham Metro Treatment Center	Jefferson	225
The Bridge	Cullman, DeKalb, Etowah, Mobile, Tuscaloosa	745
The Bridge Mobile	Mobile	555
Chemical Addictions Program	Autauga, Montgomery, Jefferson	1,371
Fellowship House	Jefferson	472
Jefferson County Council on Economic Opportunity	Jefferson	226
Lighthouse Counseling Center	Montgomery	801
Salvation Army Dauphin Way Lodge	Mobile	721
Tri-County Treatment Center	Jefferson	205
UAB Drug Free	Jefferson	1,472

Due to space constraints, this chart shows only those providers that served 200+ individuals in FY13.

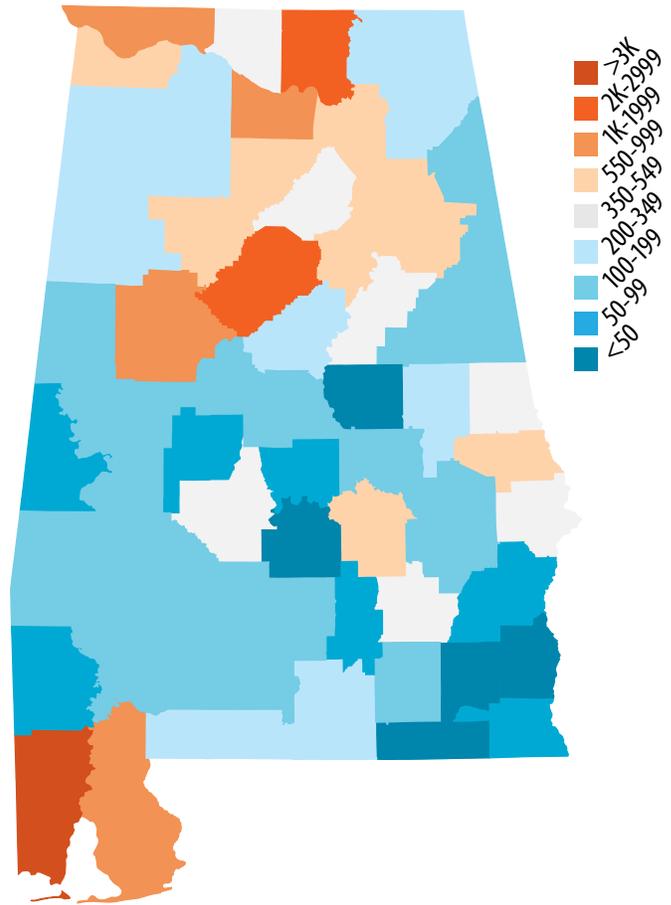
About Services

Department Service Statistics
Children/Adolescent Services

30,674

distinct children or adolescents received state-funded services in FY13

@ UAB Adolescent Unit: **23**



county outliers and mean

119

direct contractors and subcontractors assisted in providing services



Comprehensive 310 Boards

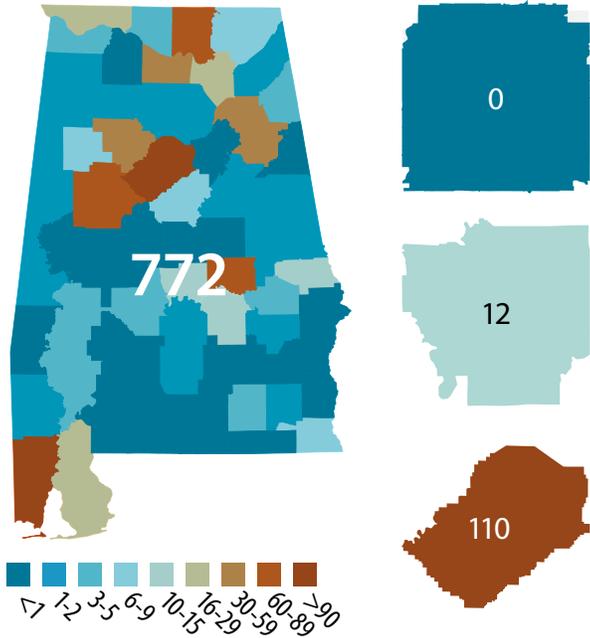
Developmental Disability only 310 Boards

Mental Health & Substance Use Disorder 310 Boards

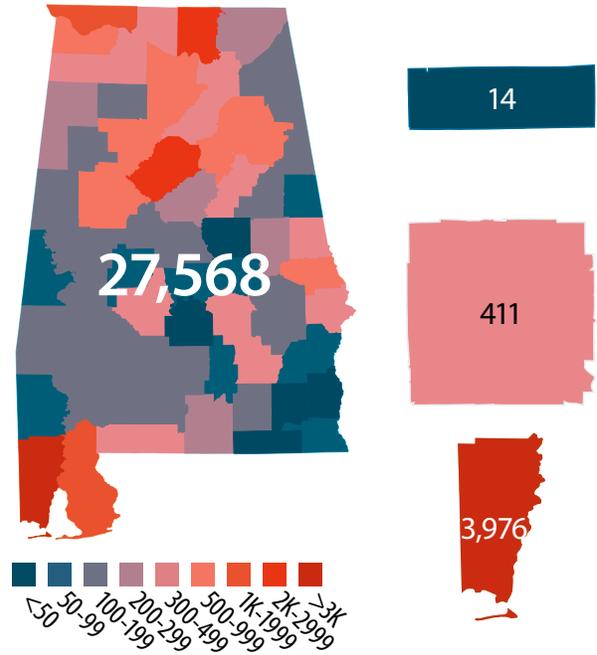
Mental Health only 310 Board

Contract Providers

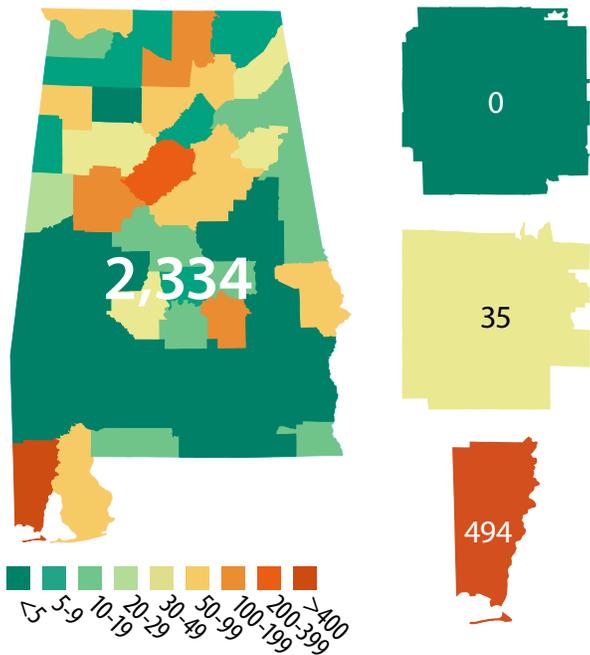
Developmental Disability Services



Mental Illness Services



Substance Use Disorder Services



About Services

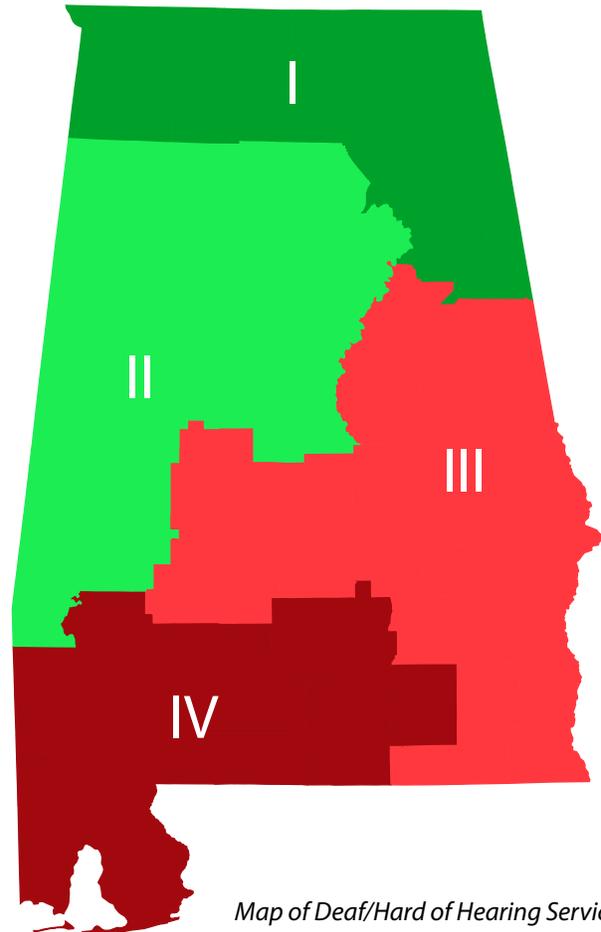
Department Service Statistics
Deaf/Hard of Hearing Services

4,915

distinct people had direct contact with the Office of Deaf Services in FY13

Types of Services Provided

technical assistance, consultation, assessments



Map of Deaf/Hard of Hearing Service Regions

3,245

events of technical assistance or consultation to individuals and groups

291

assessments of various types for consumers during the year

About Services

Department Service Statistics
Nursing Home Services

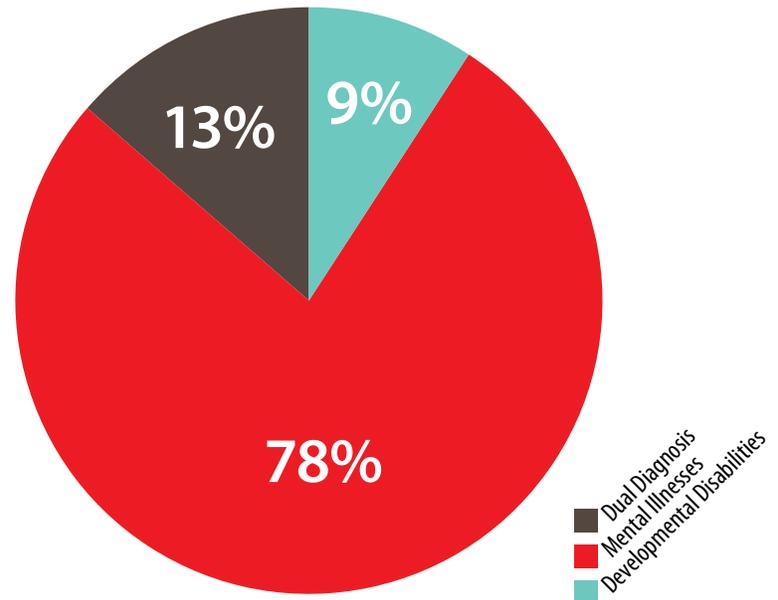
53,294

distinct nursing home applicants and residents for suspected mental illnesses, developmental disabilities and/or related conditions

Types of Services Provided

screenings, clinical reviews, evaluations, chart audits

Completed Full Level II Evaluations



6,945

Clinical Reviews to confirm or rule out diagnoses of mental illnesses, developmental disabilities and/or related conditions

892

Nursing Home Chart Audits to help ensure federal and state PASRR compliance

Full Level II Evaluations determine:

1. *state's level of care criteria*
2. *need for specialized services*
3. *appropriateness of nursing home placement*

2,000

Significant Change Evaluations to assess individuals' continued eligibility for nursing home placement

Financial Report

Expenditures and Encumbrances

Facilities

	Bryce Hospital	\$ 42,472,761
	Greil Memorial Psychiatric Hospital*	857,464
	Mary Starke Harper Geriatric Psychiatry Center	20,942,388
	North Alabama Regional Hospital	12,687,585
	Searcy Hospital*	7,032,002
	Taylor Hardin Secure Medical Facility	14,745,197
	UAB Adolescent Unit	1,402,638

\$ 100,140,035

Community Programs

	Mental Illnesses	247,054,077
	Developmental Disabilities	437,198,433
	Substance Use Disorders	41,673,308

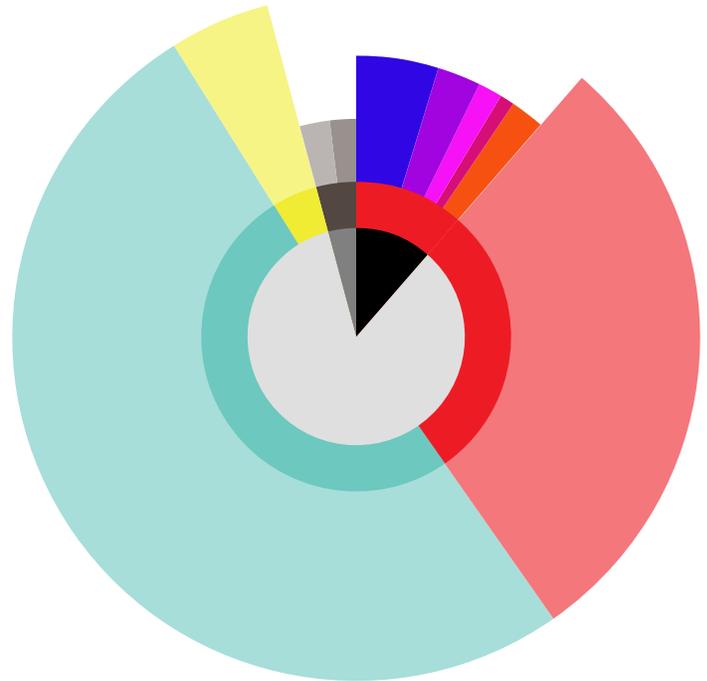
\$ 725,925,818

Administration

	Central Office	18,460,612
	Special Services	16,073,625

\$ 34,534,237

Total \$ 860,600,090



General Operating Revenue

State Revenue

	Cigarette Tax	\$ 5,413,607
	Mental Health Fund	198,239,275
	Education Trust Fund	28,229,747
	General Fund	104,723,003
	Other State Revenue	3,991,430

(Including Indigent Offenders Treatment, Judicial Fines, State Match Funds-DHR and Tobacco Settlement)

\$ 340,597,062

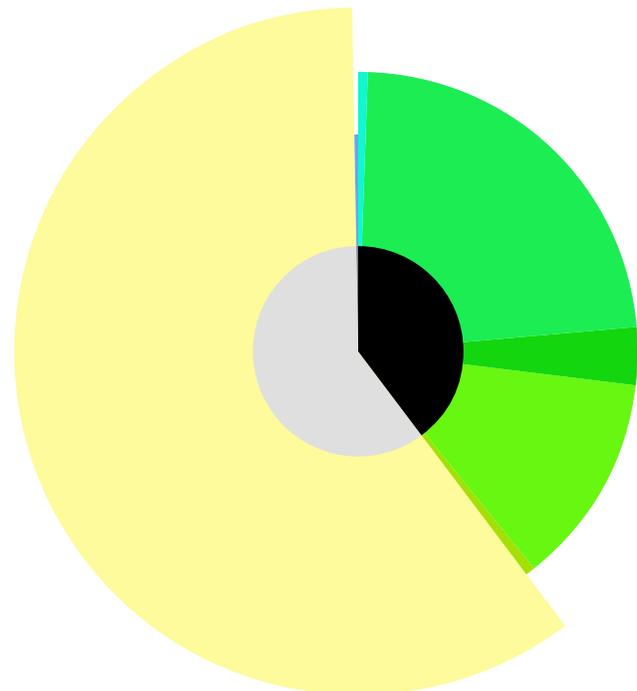
Federal, Local, Misc.

		512,185,989
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Other Items

		1,500,000
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Total \$ 854,283,051



*Greil Memorial Psychiatric Hospital closed during FY12 and Searcy Hospital closed during FY13. Greil closed on 08/31/12, and Searcy closed on 10/31/12.